



NOTICE OF DISCLOSURE IN TERMS OF THE FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT NO. 37 OF 2002

Authorized Financial Services Provider (FSP Number 49233)

Address: 373 Leslie Avenue, Fourways, Gauteng, 2191

Tel: 083 302 9840 E-mail: info@gig-wealth.com

Letter of Introduction

In terms of the General Code of Conduct of the Financial Advisory and Intermediary Services Act (the “**FAIS Act**”), we are required to disclose the information in this notice.

If there is anything in this notice that you do not understand, please contact us to request further information.

1. Financial Services Provider

GIG Wealth (Pty) Ltd (Registration No. 2017/373472/07) (“GIG Wealth”) is an authorized Financial Services Provider in terms of Section 8 of the FAIS Act, license number 49233. A copy of the licence is available upon request.

In respect of financial products and services, GIG Wealth is authorized to render intermediary services (Category I) as well as discretionary mandate services (Category II) including services in relation to crypto assets.

2. Contact Details

The Key Individuals and contact details of GIG Wealth (Pty) Ltd FSP 49233 are:

- JJ Cloete 083 302 9840 jasper@gigtrust.co.za

Website: www.gig-wealth.com

3. Representatives

The representatives, Muzi Kubeka and Mark Geldenhuys are employees of GIG Wealth (Pty) Ltd FSP 49233, an Authorized Financial Services Provider and Independent Brokers not under supervision. Our representatives are registered to market in the Category I and Category II environment.

GIG Wealth has the necessary controls and procedures in place to ensure that its Key Individual and Representatives comply with the prescribed Fit and Proper Requirements as contained in the FAIS Act (including experience, qualifications, regulatory examinations and the honesty and integrity requirements). GIG Wealth takes full responsibility for the



representative's advice and actions.

It is hereby confirmed that GIG Wealth's Key individual and Representatives comply with the Fit and Proper requirements set out above.

GIG Wealth (PTY) Ltd is registered for the following products in the Category I and Category II environment without any statutory restrictions:

Category I

- Long-Term Insurance Subcategory A
- Short-Term Insurance Personal Lines
- Long-Term Insurance Subcategory B1
- Long-Term Insurance Subcategory C
- Retail Pension Benefits
- Short-Term Insurance Commercial Lines
- Pension Fund Benefits
- Shares
- Money Market Instruments
- Debentures and Securitised Debt
- Warrants, Certificates and Other Instruments
- Bonds
- Derivative Instruments
- Participatory Interest in a Collective Investment Scheme
- Long-Term Deposits
- Short-Term Deposits
- Friendly Society Benefits
- Long-Term Insurance Subcategory B2
- Long-Term Insurance Subcategory B2-A
- Long-Term Insurance Subcategory B1-A
- Crypto Assets

Category II

- Shares
- Money Market Instruments
- Debentures and Securitised Debt
- Warrants, Certificates and Other Instruments
- Bonds
- Derivative Instruments
- Participatory Interests in one or more Collective Investment Schemes
- Long-Term Deposits
- Short-Term Deposits
- Crypto Assets



4. Financial Intelligence Centre Act (FIC Act)

Our FIC compliance officer is:

JJ Cloete
GIG Wealth (PTY) Ltd
ORG Id: 50454
373 Leslie Avenue, Fourways, Gauteng
2191
E-mail: jasper@gigtrust.co.za

GIG Wealth is registered as an accountable institution with AI nr: 50154 in compliance with the requirements as set out in the FIC Act.

5. Compliance with FAIS

Our compliance officer is:

TI LIEBENBERG CO 6152
COMPLY SURE BK CO 43
45 Mossop Street
Onrusrivier
7201
E-mail: complysure.1@gmail.com

6. Conflicts of Interest

GIG Wealth has adopted and implemented a Conflict-of-Interest Management Policy should conflict of interest arise and is in compliance with the provisions of the FAIS Act and is available on the request of a client.

GIG Wealth has adopted and implemented a Treating Customers Fairly Policy in terms of the General Code of Conduct for Authorized Financial Services Providers.

7. Complaints

The complaints procedure of GIG Wealth is available on request at their offices or can be found on GIG Wealth's website www.gig-wealth.com/complaints to find out more about our complaints process.



8. The FAIS Ombudsman:

Office of the Ombud for Financial Services Providers

Address: Central, 125 Dallas Avenue Menlyn, Waterkloof Glen, Pretoria, 0010

PO box: PO Box 74571, Lynwood Ridge, 0040

Phone: 012 762 5000

Email: info@faisombud.co.za

Website: www.faisombud.co.za

Please note that your complaint must be lodged with the Ombud within a 6 month period.

9. Risk:

- The risks involved in trading and investing in any financial products and services e.g. investing in crypto assets may result in the loss of capital.
- Past performance is not indicative of future performance. Crypto markets can be volatile, and prices can fluctuate significantly, which could result in sudden and significant increases or decreases in the value of your crypto assets. This market volatility and other risks involved in buying, selling or trading crypto assets are explained in the Risk Warning page of the discretionary mandate entered into with crypto asset investors.
- This information is not intended to be, nor does it constitute financial, tax, legal, investment or other advice; nor a solicitation to trade. Before making any decision or taking any action regarding your finances, you should consult one of our qualified Financial Advisors.

GIG Wealth does not hold any shares in their service providers. GIG Wealth does not earn more than 30% of their remuneration from their service providers.